## IAP5 Rec'd PCT/PTO 2 9 MAR 2006

March 29, 2006

#### CERTIFICATE OF EXPRESS MAILING (37 C.F.R. §1.10)

FAPESSBP3502

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as Express Mail Envelope No: EV 669528632 US addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

	Sara Hare
March 29, 2006	Name of Person Mailing Paper
Date of Deposit	Signature of Person Mailing Paper

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re: Title: USE OF CELL LINES TO PRODUCE ACTIVE THERAPEUTIC PROTEINS Attorney Docket No: 8028-007-US

#### Dear Commissioner:

Enclosed please find the following documents related to the above-identified matter:

- 1. Transmittal Letter (3 pages);
- 2. Form PTO-1390 (3 pages in duplicate);
- 3. Copy of PCT application (117 pages);
- 4. Copy of Amendment Before the IPEA submitted Oct 28, 2005 (19 pages);
- 5. Application Data Sheet (3 pages);
- 6. Preliminary Amendment (11 pages);
- 7. Copy of Declaration (2 page);
- 8. Power of Attorney & Statement under 37 CFR 3.73(b); and

The self-addressed, stamped postcard has been included for your convenience. After confirming receipt of these documents please return the postcard to us at your earliest convenience. Should you have any questions, please do not hesitate to contact me by phone at (858) 450-0099.

Michael B. Farber, Esq.

Reg. No. 32,612

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	) Examiner: To Be Assigned
Bush et al.	) Group Art Unit: To Be Assigned
Serial No.: U.S. National Stage of PCT/US04/33260	) ) ) Docket No.: 8028-007-US
Filed: Herewith	) Date Mailed: March 29, 2006
For: USE OF CELL LINES TO PRODUCE ACTIVE THERAPEUTIC PROTEINS	) ) )

#### TRANSMITTAL LETTER

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This submission is to effect entry of PCT Application PCT/US04/33260 into the United States National Stage under 35 U.S.C. § 371 and 37 C.F.R. § 1.495.

Accordingly, the following documents are transmitted:

- (1) A copy of the above-identified PCT application. Because the above-identified PCT application was filed in the United States Patent and Trademark Office, the copy is not required under 37 C.F.R. § 1.495(b)(i). The copy is provided for convenience.
- (2) A copy of the Amendment Before the International Preliminary Examining Authority submitted on October 28, 2005.

- (3) An Application Data Sheet Under 37 C.F.R. § 1.76 giving biographical information on the inventors of the above-identified application as well as details of the claim for priority based on a previously-filed provisional application.
- (4) A Preliminary Amendment Under 37 C.F.R. § 1.121 to amend the specification and claims of the above-identified application for entry into the United States National Stage.
- (5) A copy of the Declaration as to inventorship previously executed by all inventors under PCT Rule 4.17(iv). This Declaration fulfills the requirements of 37 C.F.R. § 1.497 for the required oath or declaration under 35 U.S.C. § 371(c)(4).
- (6) A fee payment of \$1200.00, reflecting: (a) the basic national stage fee of \$150.00 for a small entity under 37 C.F.R. § 1.492(a); (b) the national stage search fee of \$50.00 for a small entity under 37 C.F.R. § 1.492(b)(2) for a PCT Application in which the United States Patent and Trademark Office was the International Searching Authority; (c) the national stage examination fee of \$100.00 for a small entity under 37 C.F.R. § 1.492(c)(2); (d) additional claims fees of \$800.00 for 52 total claims (32 in excess of 20) and of \$100.00 for 4 independent claims (1 in excess of 3). This fee payment is for a small entity.

Priority under 35 U.S.C. §§ 119 and 365 is hereby claimed for the national stage application. This priority is claimed to: (1) PCT Application No. PCT/US04/33260, filed on October 7, 2004, and designating the United States; and (2) United States Provisional Application Serial No. 60/510,509, filed on October 10, 2003, from which PCT Application No. PCT/US04/33260 claimed priority.

Respectfully submitted,

Michael B. Farber, Ph.D., Esq.

Date: March 29, 2006

CATALYST LAW GROUP, APC 9710 Scranton Road, Suite 170 San Diego, California 92121 (858) 450-0099 (858) 450-9834 (Fax)

# IAP3 Rec'd PCT/FTO 2 9 MAR 2008

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES  ATTORNEY'S DOCKET NUMBER  8028-007-US					
	DESIGNATED/ELECTED OFFICE (DO/EO/US)  CERNING A SUBMISSION UNDER 35 U.S.C. 371  U.S. APPLICATION NO. (If known, see 37 CFR 1.5)				
	TIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATECLAIMED				
PCTA	JS04/33260 October 7,2004 10/3/410/				
USE C	F CELL LINES TO PRODUCE ACTIVE THERAPEUTIC PROTEINS				
Multi	itis) for doieoius Cell Technologies, Inc.				
Applicant	herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
1. 🔼 1	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.				
2. L T	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.				
] 3. 🔀 т	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.				
4 🔀 1	The US has been elected (Article 31).				
5. 🗵	A copy of the International Application as filed (35 U.S.C. 371(c)(2))				
	a. A is attached hereto (required only if not communicated by the International Bureau).				
	b. has been communicated by the International Bureau.				
-	c. is not required, as the application was filed in the United States Receiving Office (RO/US).				
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
	a. is attached hereto.				
	b. 🗡 has been previously submitted under 35 U.S.C. 154(d)(4).				
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))				
	a. are attached hereto (required only if not communicated by the International Bureau).				
	b. Have been communicated by the International Bureau.				
	c. have not been made; however, the time limit for making such amendments has NOT expired.				
	d. have not been made and will not be made.				
8. 📙	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).				
9. 🔼	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).				
10	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).				
Items 11 to 20 below concern document(s) or information included:					
11.	11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.				
12.					
	13. A preliminary amendment.				
14. 🛛					
15.	•				
16.					
17.					
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).				
19. 🔲	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

### IAP5 Rec'd PCT/PTO 2 9 MAR 2006

PTC-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION, NO. - (if-kingyin, spe 37 GER 1.5) INTERNATIONAL APPLICATION NO.

TBP 0/5/416/	PCT/USO4/	33-260	802 <b>8</b> -007-		
20. Other items or information:					
The following fees have been submitted			CALCULATIONS	PTO USE ONLY	
21. A Basic national fee (37 CFR 1.492(a))		\$300	\$ 300	1.0 002 0.121	
22. X Examination fee (37 CFR 1.492(c))					
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations			\$ 200		
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority			\$ 100		
TOTAL OF 21, 22 and 23 =			600	<del> </del>	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets Extra Sheets Number of each thereof (round)					
- 100 = /50 =		× \$250	\$		
Surcharge of \$130.00 for furnishing any of the sea after the date of commencement of the national sta	rch fee, examination fee, or the age (37 CFR 1.492(h)).	oath or declaration	\$		
CLAIMS NUMBER FILED	NUMBER EXTRA	RATE	\$		
Total daims 52 - 20 =	32	× \$ 50	\$ 1600		
Independent claims 4 - 3 =		× \$200	\$ 200		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$360	\$		
Applicant claims small entity status. See 37 C	TOTAL OF ABOVE	CALCULATIONS =	s 2400		
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.			-1200		
Processing fee of \$130.00 for 6 mighting the Fault the			\$ 1200		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		\$			
TOTAL NATIONAL FEE =			\$		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property			\$		
TOTAL FEES ENCLOSED =			\$ 1200		
			Amount to be refunded:	\$	
			Amount to be charged	\$	

# IAP5 Rec'd PCT/PTO 2 9 MAR 2006

10/574167

PTC-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

а. 🔲	A check in the amount of \$ to cover the above	e fees is enclosed.			
b. 🔀	Please charge my Deposit Account No. <u>502235</u> in the amount of \$ _ A duplicate copy of this sheet is enclosed.	12.60 to cover the above fees.			
c. 🔀	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No50235. A duplicate copy of this sheet is enclosed.				
d. 🔲	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
	, and the second se				
SEND A	LL CORRESPONDENCE TO:	Male B. Z.			
(	CATALYST LAW GROUP, APC	Michael B. Farber, Esq.			
. 9	9710 SCRANTON ROAD, SUITE 170	NAME 7			
	SAN DIEGO, CA 92121	REGISTRATION NUMBER			
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